



*EFW*

Practitioner's Docket No. U 015456-6

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Roger Howard WILLIAMS, III  
Serial No.: 10/777,586 Group No.:  
Filed: February 12, 2004 Examiner:  
For: SYSTEMS AND METHODS FOR IMPLEMENTING AN INTEREST-BEARING INSTRUMENT

**Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450**

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

*NOTE: The PTO will not correct the filing receipt until the application is complete (in other words, the applicant files a response to the notice to file missing parts).*

2. There is an error with respect to the following data, which is:

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transmitted by facsimile to the Patent and Trademark Office. to **(703) 872-9306**

Date: <sup>15</sup> November 16, 2004

  
\_\_\_\_\_  
Signature

Steven I. Wallach  
\_\_\_\_\_  
(type or print name of person certifying)

**Error in**

- 1.  Applicant's name
- 2.  Applicant's address
- 3.  Title
- 4.  Filing Date
- 5.  Serial Number
- 6.  Foreign/PCT Application Re:
- 7.  Other: Attorney Docket  
Attorney Address

**Correct data**

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7 U 015456-6  
Ladas & Parry LLP  
26 W. 61<sup>st</sup> St.  
New York, N.Y. 10023



SIGNATURE OF PRACTITIONER

Reg. No.:

Steven I. Wallach, 35402, (212) 708-1884  
*(type or print name of practitioner)*

Tel. No.: ( )

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P.O. Address

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|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/777,586 | 02/12/2004                | 3624     | 682           | 17211-001       | 3        | 53       | 3        |

CONFIRMATION NO. 4935

37119  
MORRISON COHEN SINGER & WEINSTEIN, LLP  
750 LEXINGTON AVENUE  
NEW YORK, NY 10022

FILING RECEIPT



\*OC000000012572264\*

Date Mailed: 05/10/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Roger Howard Williams III, New York, NY;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

**If Required, Foreign Filing License Granted: 05/07/2004**

**Projected Publication Date: 08/18/2005**

**Non-Publication Request: No**

**Early Publication Request: No**

**\*\* SMALL ENTITY \*\***

**Title**

Systems and methods for implementing an interest-bearing instrument

**Preliminary Class**

705